

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.

**THIS PAGE BLANK (USPTO)**



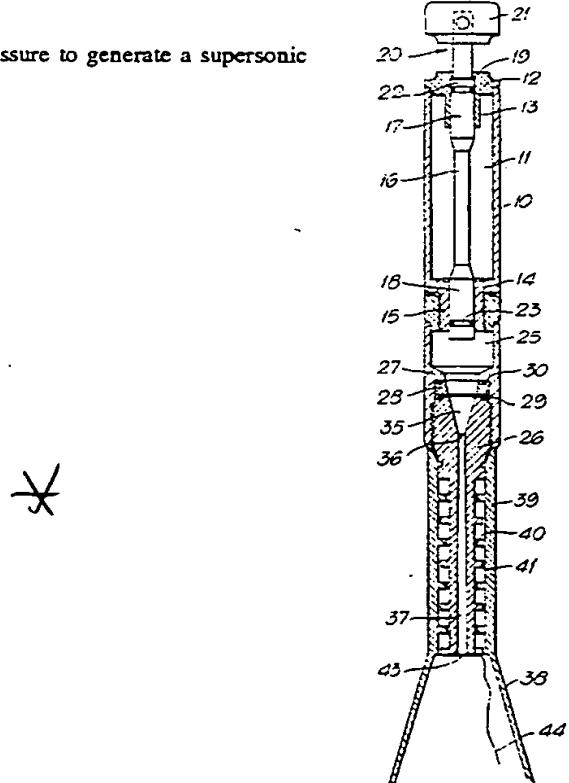
## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5 :	A1	(11) International Publication Number: WO 94/24263
C12M 3/00, A61M 5/30, 5/46, 11/06, 5/31, B05B 7/14		(43) International Publication Date: 27 October 1994 (27.10.94)
(21) International Application Number: PCT/GB94/00753		(81) Designated States: AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, ES, FI, GB, GE, HU, JP, KG, KP, KR, KZ, LK, LU, LV, MD, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SI, SK, TJ, TT, UA, US, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).
(22) International Filing Date: 8 April 1994 (08.04.94)		
(30) Priority Data:		Published
9307459.9 8 April 1993 (08.04.93) GB		With international search report.
9318407.5 6 September 1993 (06.09.93) GB		Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.
9321356.9 15 October 1993 (15.10.93) GB		
9326069.3 21 December 1993 (21.12.93) GB		
(71) Applicant (for all designated States except US): OXFORD BIOSCIENCES LIMITED [GB/GB]; The Magdalen Centre, Oxford Science Park, Oxford OX1 4GA (GB).		
(72) Inventors; and		
(75) Inventors/Applicants (for US only): BELLHOUSE, Brian, John [GB/GB]; The Lodge, North Street, Islip, Oxfordshire OX5 2SQ (GB). SARPHIE, David, Francis [GB/GB]; 4 Kingston Road, Oxford OX2 6EF (GB). GREENFORD, John, Christopher [GB/GB]; 11 Park Crescent, Abingdon, Oxfordshire OX14 1DF (GB).		
(74) Agent: GILL, JENNINGS & EVERY; Broadgate House, 7 Eldon Street, London, EC2M 7LH (GB).		

(54) Title: NEEDLELESS SYRINGE USING SUPERSONIC GAS FLOW FOR PARTICLE DELIVERY

## (57) Abstract

A needleless syringe having a membrane (28) which is ruptured by gas pressure to generate a supersonic gas flow in which particles containing a therapeutic agent are injected.



**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Larvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

## Needleless syringe using supersonic gas flow for particle delivery

Previous work has demonstrated the feasibility of using dense carrier particles for the genetic transformation of plant cells. In that biolistic method, dense micro projectiles, made for example of tungsten or gold, are coated with genetic material and fired into target cells. As disclosed in WO-A-92/04439, the micro projectiles were fired by means of an apparatus comprising an elongate tubular device, a pressurizable gas reservoir connected to one end of the device, means between the device ends for holding or introducing particles to be propelled, and a membrane which closes the passage through the tubular device until ruptured on application of a predetermined pressure of gas from the reservoir, whereupon the particles are propelled by the gas flow from the tubular device. As disclosed in the earlier specification, the particles could initially be immobilised, e.g. electrostatically, on or upstream of a rupturable diaphragm, which is ruptured when the gas flow commences, and which may be the same as the rupturable membrane which ruptures to initiate the gas flow. Alternatively it was said that the particles could be injected into the gas stream through a hollow needle.

It is now appreciated that, surprisingly, the earlier technique can be modified to provide a non-invasive drug delivery system by means of a needless syringe which fires light drug-containing particles in controlled doses into the intact skin.

According to a broad aspect of the invention, a needless syringe comprises an elongate tubular nozzle, a rupturable membrane initially closing the passage through the nozzle adjacent to the upstream end of the nozzle, particles of a therapeutic agent, particularly a powdered therapeutic agent, located adjacent to the membrane, and energising means for applying to the upstream side of the membrane a gaseous pressure sufficient to burst the

membrane and produce through the nozzle a supersonic gas flow in which the particles are entrained.

The syringe may be used for routine delivery of drugs, such as insulin for the treatment of diabetes, and could be 5 of use in mass immunisation programs, or for the delivery of slow-release drugs such as pain killers and contraceptives. The syringe may also be used for the delivery of genetic material into living skin cells, with the long term aim of providing genetic therapy for the 10 stable treatment of diseases such as haemophilia or skin melanoma. The syringe could also be used to deliver genetic material to skin, muscle, blood, lymph and with minor surgery, to organ surfaces.

A delivery system utilising the new syringe reduces 15 the chances of the spread of communicable and auto-immune diseases, which are currently transmitted amongst other means by the re-use of needles. Drug delivery by liquid jet causes skin damage and bleeding and offers no advance over needles in preventing the spread of blood-borne 20 diseases. Thus, the main advantages which flow from the invention include no needle and less pain; no risk of infection; delivery of drugs in natural, solid form; quicker and safer to use than liquid drug, by syringe and needle; and no sharps to dispose of.

25 Preliminary experiments confirm a theoretical model and establish the efficacy of the new technique, particularly the transdermal injection of powdered drugs. The theoretical model assumes that the skin behaves much like water as a resisting medium. Thus, at low values of 30 Reynolds number the drag follows Stokes law, but at higher values of Reynolds number the drag coefficient is constant. Evidence for this form of drag behaviour on a smooth sphere in a uniform medium, like water, is given in "Mechanics of Fluids" by B S Massey (Van Nostrand). The calculations 35 show that adequate penetration, for example to between 100 and 500  $\mu\text{m}$  beneath the skin is possible using powdered drug particles which are not so large that skin cells will be

damaged, utilizing gas velocities e.g. Mach 1-8, preferably Mach 1-3, which are comparatively easily obtainable upon bursting of a rupturable membrane. The penetration depends upon the particle size, that is to say the nominal particle 5 diameter assuming that the particles are roughly spherical, the particle density, the initial velocity upon impacting the skin, and the density and kinematic viscosity of the skin. Different penetration distances will be required depending upon the tissue, e.g. epidermis or muscle, to 10 which the particles are to be delivered for optimum treatment, and the parameters determining penetration will be selected accordingly.

It is a characteristic of the invention that depth of penetration can be closely controlled, thus providing 15 specific administration to a desired locus. Thus, for example, penetration may be chosen at less than 1 mm for an intra-dermally active agent, 1-2 mm for an active agent sub-cutaneously, and 10 mm or more for an agent active when administered intra-muscularly. The agent itself will be 20 chosen accordingly. Examples of agents that can be used are viruses or proteins for immunisation, analgesics such as ibuprofen, hormones such as human growth hormone, and drugs such as insulin and calcitonin. The agent can be administered without any carrier, diluent or other density-enhancing agent. In certain circumstances, e.g. in order 25 to provide a particle of a certain size containing a highly-active drug, some carrier may be present, but the amount will usually be much less than in a conventional pharmaceutical composition, e.g. less than 75% and often less than 50% by volume of the particles. Insulin and 30 calcitonin, for example, will usually be delivered sub-cutaneously. HGH (human growth hormone) may be administered sub-cutaneously or, less frequently, intra-muscularly. The immunogens hepatitis A, meningitis and BCG 35 may be administered intra-muscularly, sub-cutaneously and intra-dermally.

Thus in a first example, insulin particles with a nominal diameter of 10  $\mu\text{m}$  were injected at an initial velocity of 750 m/sec into the skin. Assuming that the insulin particles have a density close to that of the skin, i.e. approximately 1, and that the kinematic viscosity of the skin is assumed to match that of water at  $10^{-6} \text{ m}^2/\text{sec}$ , the penetration depth before the particles come to rest within the skin is about 200  $\mu\text{m}$ . To obtain greater penetration, the particle size can be increased to 20  $\mu\text{m}$  and the initial velocity to 1,500 m/sec, in which case the penetration depth rises to about 480  $\mu\text{m}$ .

In a second example of the use of the new technique, not for transdermal injection, but for the genetic transformation of cells, for example the injection of DNA-coated tungsten carrier particles into maize cells, a comparable penetration into the tissue would require a reduction in the size of the particles to allow for their increased density. Thus if such coated particles with a nominal diameter of 1  $\mu\text{m}$ , and a density of the order of 20 are injected into maize cells at a velocity of 500 m/sec, the penetration is about 200  $\mu\text{m}$ .

In general, the new injection technique can be carried out with particles having a size of between 0.1 and 250  $\mu\text{m}$ , preferably, for transdermal powdered drug injection, of between 1 and 50 and most preferably between 10 and 20  $\mu\text{m}$ . The particles will usually have a density in the range between 0.1 and 25  $\text{g}/\text{cm}^3$ , but for transdermal drug injection, preferably in the range between 0.5 and 2.0  $\text{g}/\text{cm}^3$ , most preferably substantially 1.0  $\text{g}/\text{cm}^3$ . Injection velocities may be between 200 and 2,500 (or even up to 3,000 or more) m/sec, but for transdermal powdered drug injection, preferably between 500 and 1,500 and most preferably between 750 and 1,000 m/sec.

The powdered therapeutic agent will normally be ground and sieved to a precise diameter. Alternatively the particles could be tiny spherical shells of for example, up to 100  $\mu\text{m}$  diameter, in which solid or liquid drugs are

encapsulated. If the encapsulating shell has a controlled permeability, this may provide an additional means of providing a slow drug release rate after delivery. A substantially inert carrier may have to be included to provide the particles with the required size and mass for adequate penetration, particularly if the therapeutic agent is potent or of low density. The carrier may be mixed with the agent, or provide the encapsulating shells. The required dose will depend on the quantity and concentration of the agent and the number of particles in a shot.

A different approach in setting acceptable operating parameters for the new technique is to select the particle size, mass and initial velocity to give a momentum density, that is particle momentum divided by particle frontal area, of between 2 and 10, preferably between 4 and 7 kg/sec/m. Control of momentum density is desirable, in order to achieve controlled, tissue-selective administration. In the first example mentioned above, in which powdered insulin with a particle size of 10  $\mu\text{m}$  is fired at a velocity of 750 m/sec, the momentum density is 5 kg/sec/m. In the second example involving the injection of DNA-coated tungsten carrier particles into maize cells, the particles having a nominal diameter of 1  $\mu\text{m}$  and a velocity of 500 m/sec, the momentum density is 6.7 kg/sec/m.

The invention also includes a needleless syringe, for therapeutic use, which comprises a nozzle, particles of a powdered therapeutic agent, and energising means which, on activation, deliver the particles through the nozzle at a velocity of at least 200, preferably in the range of between 200 and 2,500, m/sec, in which the particles have a size predominantly in the range 0.1 to 250  $\mu\text{m}$  and a density in the range of 0.1 to 25 g/cm<sup>3</sup>, and in which the agent comprises a drug having the therapeutic use and, preferably, no, or a minor amount (i.e. < 50%) by volume of, inert carrier or diluent.

Further the invention includes a method of therapeutic treatment, comprising the transdermal delivery of particles

of a powdered therapeutic agent, which comprises administering the particles to the locus of transdermal administration at a velocity of at least 200, preferably in the range of between 200 and 2,500, m/sec, in which the 5 particles have a size predominantly in the range 0.1 to 250  $\mu\text{m}$  and a density in the range of 0.1 to 25 g/cm<sup>3</sup>, and in which the agent comprises a drug having the therapeutic use and, preferably, no, or a minor amount (i.e. < 50%) by volume of, inert carrier or diluent.

10 With regard to the construction of the syringe, the energising means may comprise a chamber upstream of the membrane, and conveniently in a handle of the syringe, and means for the controlled build up of gaseous pressure in the chamber, in which case the means for building up the 15 pressure in the chamber may comprise a source of compressed gas connected to the chamber through e.g. a fast coupling and a bleed valve. Alternatively, the syringe is self-contained and portable and incorporates its own reservoir of compressed gas, which may be rechargeable.

20 Typical operating values for a practical system are a burst pressure of the diaphragms of between 20 and 75 atmospheres in a pressure chamber having a volume of between 1 and 5 ml, generating a supersonic shockwave at velocities of between Mach 1 and Mach 8, preferably between 25 Mach 1 and Mach 3.

The gas/particle velocity leaving the nozzle and hence penetration depth is dependent on membrane burst pressure but, surprisingly for such a transient phenomenon, experiments have shown that the velocity is also critically 30 dependent on nozzle geometry. This is useful as it enables the penetration depth to be controlled by changing the nozzle rather than the membrane thickness. The nozzle preferably has a convergent upstream portion leading through a throat to a cylindrical or, preferably, divergent downstream portion. The upstream portion allows the sealed unit of agent to be accommodated in a wider portion and the 35 supersonic shock wave is produced at the throat. The

divergence of the downstream portion significantly affects the velocity of the gas being expanded to pseudo-steady-state, supersonic velocities. Increases in this pseudo-steady-state velocity results in increased particle depth  
5 of penetration which is surprising for a phenomenon considered to be predominantly transient. The divergent portion also appears to transform the transient flow pattern upon bursting of the membrane into a smooth exit flow from the nozzle, thereby rendering uniform the travel  
10 of the particles into their target. In addition the divergence of the nozzle causes an even spread of the particles onto the target.

In one series of experiments utilising helium upstream of the membrane, and varying only the membrane burst pressure, penetration into a uniform target was measured.  
15 Burst pressures of 42, 61 and 100 atmospheres produced penetration depths of 38, 50 and 70 units respectively. In contrast, similar experiments in which only the internal geometry of the divergent portion of the nozzle was changed  
20 also produced different penetration. Thus three nozzles of the same length and exit diameter but different internal geometries chosen to produce Mach numbers of 1, 2 and 3 under theoretical steady state conditions produced target penetration depths of 15, 21 and 34 units respectively.

The type of gas used for the delivery is not, prima facie, critical and comparatively cheap gases such as helium, nitrogen and carbon dioxide are appropriate. However, the gas applied to the upstream side of the membrane to rupture the membrane should be sterile as it  
25 will contribute to the gas flow carrying the particles through the nozzle to the patient's skin or other target. For this purpose helium is useful as it is available in inert, sterile form.

It has now been appreciated that there is another advantage in using helium for bursting the membrane. It is believed that most of the particles travel on the contact surface between the upstream and downstream gases which are  
35

initially separated by the membrane, the contact surface closely following the shockwave. It appears that the lighter the gas applied to the upstream side of the membrane, the greater the shockwave (and contact surface) velocity through the nozzle for a given pressure differential across the membrane at the time of rupture and a given nozzle geometry. It follows that if a light gas is used the required shockwave velocity can be achieved with a lower pressure differential, provided that the membrane will rupture at that pressure differential. In general therefore the gas applied to the upstream side of the membrane to burst the membrane is lighter than air.

This appreciation has lead to a further understanding that the velocity of the shockwave through the nozzle is greater, the lighter the gas within the nozzle. It has been suggested to use at least a partial vacuum but this is difficult to provide and maintain in practice. In order therefore to further minimise the required burst pressure of the membrane to achieve a required shockwave (and contact surface) velocity in the nozzle, the interior of the nozzle downstream of the membrane preferably contains a gas, such as helium, which is lighter than air, at substantially atmospheric pressure, the light gas being contained by a readily removable seal, such as a removable plug or cap, or a peelable foil, at the downstream end of the nozzle. In use the seal will be removed immediately before operation of the syringe, so that the light gas will have little time to diffuse out of the nozzle before the syringe is fired.

The sealing of the downstream end of the nozzle also has the additional advantage that it remains sterile so that there is minimum chance of any foreign bodies entering the nozzle, after, for example, undoing a sterile package, and before firing the syringe, as such foreign bodies would inevitably be entrained by the gas flow carrying the drug particles to the patient's skin or other target.

The particle source should contain a precise dose of the drug and be capable of being handled as a sterile assembly. Indeed, absolute sterility is sought and consequently it is to be assumed that at least the assembly 5 of tubular nozzle, and remnants of the particle source and burst membrane, and possibly also the pressurizable chamber, will be disposable for replacement by a new assembly from a sealed sterile package. It is quite possible that the entire device, including energising 10 mechanism, pressurizable chamber, nozzle, membrane and particles will be for a single use and that all the remnants of the device will be disposable after use. This disposable assembly would naturally be made as cheaply as possible, particularly from plastic material.

15 Alternatively, the syringe may readily be separable into two parts: a disposable downstream part comprising at least the sterile nozzle, membrane and particles, and an upstream part comprising at least part of the energising means. However in this particular configuration, the source of 20 pressurized gas and its coupling to the pressurizable chamber would not be disposable, being comparatively expensive metal parts. Because exposed end and interior surfaces of these parts will come into communication with the interior of the pressurizable chamber, and hence during 25 drug delivery with the interior of the tubular nozzle, there is a danger of contamination from bacteria and other contaminants settling on the nondisposable parts.

Preferably therefore the upstream end of the chamber is closed by a sterile barrier, such as a semi-permeable 30 membrane which allows the passage of gas but not of bacteria therethrough. Alternatively, the chamber may be cylindrical and the sterile barrier is a piston, there being means for advancing the piston in the cylindrical chamber to compress the gas therein. The means for 35 advancing the piston may be a source of compressed gas applied to the upstream end of the piston. The syringe may then be self-contained and portable, and incorporates its

own reservoir of compressed gas, and a valve which can be opened manually to expose the piston to the gas pressure. Alternatively the means for advancing the piston comprises a spring which is arranged to be stressed and then released 5 manually to advance the piston.

The provision of the piston ensures that there is initially a predetermined volume of gas at a predetermined pressure which may be increased by moving the piston along within the cylindrical chamber, however slowly, until the 10 pressure in the chamber is sufficient to burst the membrane and deliver the particles. The amount of gas which flows through the tubular device is therefore precisely predetermined, and produces little objectionable noise. The swept volume of the cylinder necessary to increase the 15 gas pressure to, say, between 20 and 40 bar, sufficient to burst the membrane, may be minimised if the helium or other gas in the cylindrical chamber is prepressurized to a super atmospheric pressure of, say, 2 bar prior to advance of the piston. Also, in order to avoid a dead space between the 20 leading end of the piston and the membrane, when it bulges away from the piston immediately before bursting, the nose of the piston is preferably convex so as to be capable of approaching the centre of the membrane more closely.

When the syringe is to be used clinically for drug 25 delivery, it is expected that the assembly of tubular nozzle, membrane, particles, cylindrical chamber, energising means and piston will be supplied in a sealed sterile package and be disposable after use. In the alternative arrangement comprising disposable and non-disposable parts, contamination from the means for moving 30 the piston, whether it be a spring, a manual plunger or a source of pressurized fluid behind the piston, will be avoided because the piston maintains, throughout the drug delivery, a barrier isolating the nondisposable parts upstream of the piston from the interior of the disposable 35 parts downstream of the piston.

The disposable assembly will, naturally, be manufactured as cheaply as possible, particularly from plastic material. Since, during delivery, a high pressure will build up in the cylindrical chamber, tending to 5 enlarge the wall of the chamber outwardly, with a danger of back leakage of gas past the piston, the wall of the cylindrical chamber may be made of a rigid engineering plastic material. Alternatively, and more cheaply, the cylindrical chamber may, in use, be contained within a 10 rigid casing, in which it is a close fit. This casing need not be disposable.

Another use for the new syringe is in the laboratory for the delivery of genetic material into living cells for genetic transformation. In that event, assuming 15 comparatively sterile conditions within the laboratory, it may not be necessary for disposable parts to be preassembled in a sterile condition, it may be sufficient for the syringe to be assembled in the laboratory, for example from components including a separate (possibly 20 disposable) tubular nozzle and cylindrical chamber component, which are separable to allow the replacement of a ruptured membrane, and a separate piston component to be inserted into the cylindrical chamber after genetic material has been dosed onto the membrane.

25 The various means disclosed in WO-A-92/04439 for locating the particles prior to rupture of the membrane are suitable when the particles are made from a very dense metal and/or for the genetic transformation of plant cells in which case it is not critical as to how many of the 30 particles reach the target. However, the earlier apparatus is not suitable for powdered drugs because the drug-containing particles are so light that they are difficult to immobilise prior to propulsion, must be deliverable in the prescribed dose, and maintained sterile prior to 35 delivery. For this purpose, the particles of powdered therapeutic agent are preferably located between two

rupturable diaphragms extending across the interior of the nozzle.

One of the two diaphragms, or indeed both, may form the main rupturable membrane, the rupture of which 5 initiates the gas flow. Alternatively, the unit may be located upstream or downstream of the main rupturable membrane, at any convenient position along the nozzle.

The membrane and diaphragm or diaphragms, may be permanent fixtures in a disposable syringe or disposable 10 part of the syringe, or they may be clamped at their edges between connectable parts of the nozzle, for example between screwed together sections.

Preferably the edges of the diaphragms are sealed together directly around their edges to form a common 15 sachet or capsule containing the particles, or indirectly, for example by being sealed to opposite axial faces of an intervening ring. In either case the edges of the sealed unit may be gripped between separable portions of the nozzle.

20 The sachet, capsule, or other sealed unit, may include three or more of the diaphragms to provide multiple isolated components containing different powdered therapeutic agents to be injected together. This would be useful for the delivery of mixtures of drugs which might 25 otherwise react unfavourably even when dry. The unit may be handled as a sterile assembly and contains a precise dose of the drug. By arranging for it to burst when the membrane bursts, it can be ensured that the drug is available at the right dosage and when needed. A 30 particular advantage of the new technique of injecting dry powdered drugs is that it can be used for delivering a stable mixture of drugs, which are unstable when mixed wet. The invention includes such a stable mixture of powdered drugs for use in a syringe according to the invention.

35 The sealed unit contains a predetermined dose of drug and it is important that substantially the whole of this dose is delivered to the patient's skin. It is therefore

important that virtually none of the particles remain trapped between the diaphragms adjacent to their edges after rupture. For this reason, at least one of the diaphragms is preferably dimpled away from the other to provide a separation sufficient to locate the majority of the particles radially inwardly of the diaphragm edges.

The invention also includes a product for therapeutic use, comprising a sealed unit of or for the new syringe, the unit comprising two diaphragms which are directly or indirectly sealed to one another around their edges, and which contain particles of a powdered therapeutic agent for transdermal injection.

It might be expected that the closer the nozzle to the patient's skin, the greater the depth of particle penetration. While that is true as the spacing is reduced from a distance of some tens of mm, experiments show that there is an optimum spacing for maximum penetration and that this reduces sharply with further approach of the nozzle to the skin, probably because of the reflected shock wave interfering with the contact surface.

It may therefore be desirable to provide a spacer at the downstream, outlet end of the nozzle to provide a positive spacing of the nozzle from the patient's skin of up to 35 mm, preferably between 5 and 15 mm. A further desirability of providing this spacing between the nozzle and patient's skin is to enable the jet leaving the nozzle to expand radially outwardly and consequently to cause the particles to impinge on a much larger area of the patient's skin than the cross-sectional area of the nozzle. For example, if the nozzle has, at its downstream end, an outlet opening of about 2.5 mm in diameter, a desirable divergence of the jet would cause it to impinge substantially uniformly on an area of the patient's skin of the order of 20 - 30 mm in diameter. Consequently, it is preferred if the spacer is a tubular shroud sufficiently large and shaped so that it does not prevent a jet of gas-entrained drug-containing particles leaving the nozzle

outlet, in use, from expanding to a cross-section at least five and preferably at least ten times the area of the outlet at a position level with the downstream end of the shroud, that is where the shroud when in use will be  
5 pressed against the patient's skin.

The spacer may be associated with a silencer or sound attenuating medium such as cotton wool. A perforate spacer may provide an adequate silencing effect. Preferably, the tubular shroud providing the spacer is imperforate and a  
10 silencer is positioned in an annular space within a barrel surrounding the nozzle to receive the shockwave reflected from the patient's skin back through the spacer shroud. The silencer may be of labyrinthine construction and provide e.g., a tortuous path between interdigitating  
15 annular flanges extending radially outwardly from the surface of the nozzle and radially inwardly from the barrel, the path leading to at least one outlet to the atmosphere through the wall of the barrel. This has been found to be remarkably efficient in reducing the otherwise  
20 frightening noise which is created when the diaphragm is burst and the shockwave leaves the nozzle to carry the particles into contact with the patient's skin.

The following Example illustrates the utility of a needle-less syringe of the invention.

25 Example

Eight healthy, male, albino rats (Wistar, avg. mass: 250 g) were anaesthetized with injections of 0.25 ml Sagatal (sodium pentathol barbitone, 60 mg/ml). The fur in the peritoneal region of each was removed using a  
30 commercially-available depilatory creme (Immac). Animals 1 to 4 were then injected with 0.1 mg bovine insulin (powder form, Sigma) using a needle-less syringe as illustrated in Figure 1 of the accompanying drawings. Animals 5 and 6 were injected with 1 mg bovine insulin  
35 (powder form) under identical conditions. The average insulin particle size was c. 10  $\mu\text{m}$ , and the delivery velocity 750 m/s. For comparison, animals 7 and 8 were

injected with 0.1 mg insulin dissolved in 0.9% aqueous NaCl, using a conventional syringe, via a needle.

Blood samples from each of the experimental animals were taken prior to injection (to act as control) and then 5 at four hours post-injection. In each case, 3 drops of blood (approximately 50  $\mu$ l) were removed from the tail of the animal and mixed with 2  $\mu$ l heparin, to prevent clotting. This mixture was then mixed with 100  $\mu$ l of 6% perchloric acid, to halt glucose metabolism. The mixture 10 was then spun and the supernatant assayed for blood glucose.

Blood glucose levels (BGL) for animals 1 to 6 are given in the following table:

Animal	BLG (mM)	
	0 Hr	4 Hr
1	5.30	2.22
2	5.40	1.29
3	7.22	1.51
4	5.64	2.87
5	5.07	0.91
6	5.36	2.63

Results from animals 7 and 8 showed BGL values of 2.2-25 3.3 mM and 2.0-2.4 mM after 1 and 2 hours, respectively. From these results, it is apparent that insulin has been delivered by needle-less injection in sufficient quantities to produce a significant therapeutic effect, and that the level of this therapeutic effect appears to be comparable 30 to that resulting from conventional needle/syringe injection. Comparison of these results at four hours with other results show that reduction in operating pressure (from 65 bar to 40 bar) and the reduction in insulin

"payload" (from 1.0 mg to 0.1 mg) produce no significant differences in BGL. This is extremely important for three reasons:

- i) reduced operating pressure reduces structural requirements for the eventual design of mass-produced clinical devices;
- 5 ii) reduced operating pressure ensures the elimination of any adverse effects which might occur at the target skin; and
- 10 iii) reduced drug payload demonstrates that this method of delivery is highly efficient, ensuring that the bio-availability associated with this delivery technique will be sufficient.

Some examples of syringes constructed in accordance with the present invention are illustrated in the accompanying drawings, in which:

Figure 1 is a axial section through a first example;  
Figure 2 is an elevation of the first example;  
Figure 3 is an exploded version of Figure 1;  
20 Figures 4, 5 and 6 are sections similar to Figure 1 but of second, third and fourth examples, respectively.;

Figure 7 is a section taken on the line VII - VII in Figure 6; and,

Figure 8 is an axial section through a capsule used in the illustrated syringes.

The first syringe illustrated in Figures 1 to 3 is some 18 cm long and arranged to be held in the palm of the hand with the thumb overlying the upper end. It comprises an upper cylindrical barrel portion 10 containing a reservoir 11. The upper end of the barrel portion 10 is closed by an end plug 12, having a depending skirt 13. The lower end of the barrel portion 10 is closed by an integral end wall 14 formed with a depending externally screw threaded skirt 15. A plunger 16 has upper and lower cylindrical enlargements 17 and 18, which slide within the skirts 13 and 15 respectively. Upward movement of the slider is limited by abutment of the upper end of the

enlargement 17 with a shoulder 19 in the end cap 12. The plunger can be moved downwardly from this position through a stroke equivalent to the gap 20 shown in Figure 1 by downward pressure on a button 21 fixed to the upper end of 5 the plunger 16. Throughout this stroke the enlargement 17 remains sealed to the skirt 13 by means of an O-ring 22. In the raised position of the plunger, the enlargement 18 is sealed to the skirt 15 by means of an O-ring 23, to seal the reservoir 11, but when the plunger is forced 10 downwardly, the seal exits the lower end of the skirt 15 to provide an outlet from the reservoir 11 in a clearance between the enlargement 18 and the skirt 15.

Screwed to the bottom of the upper barrel portion 10 is a lower cylindrical barrel portion 24 containing a 15 pressure chamber 25. Screwed into the lower end of the barrel portion 24 is a nozzle 26. Secured and sealed between the upper end of the nozzle 26 and the under side of an annular rib 27, formed integrally with the barrel portion 24, is a capsule 28 containing particles to be 20 injected. The capsule is sealed to the nozzle 26 and rib 27 by O-rings 29 and 30, recessed in the nozzle and capsule respectively.

As shown in Figure 8, the capsule comprises an annular ring 31, having a frustoconical internal periphery 25 surrounding a compartment 32, containing the particles to be injected. The top of the compartment is closed by a comparatively weak Mylar diaphragm 33 and at the bottom by a stronger Mylar diaphragm 34. These diaphragms may be sealed to the upper and lower walls of the ring 31 by the 30 compression between the nozzle 26 and rib 27, but are preferably heat or otherwise bonded to the faces of the ring, so that the capsule forms a self-contained sealed unit. The diaphragm 34 may be dimpled downwardly as shown in dotted lines, to assist in ensuring that all the 35 particles are carried from the compartment when the diaphragms burst in use. The ring may be split into two

parts with a third, weak, diaphragm between the parts so as to provide two separate compartments.

The passageway through the nozzle 26 has an upper convergent (in the downward direction of flow) part 35 leading through a throat 36 to a divergent part 37. The convergent portion is a continuation of the frustoconical internal shape of the ring 31. The nozzle is surrounded by a tubular portion providing a divergent spacer shroud 38 and a cylindrical silencer part 39 made in two halves divided by a longitudinal diametral plane. The upper ends of these two halves are received on a cylindrical surface 40 of the nozzle where they are retained in position by the inter-engagement of an annular rib and groove 41. The two halves are then bonded together. The inner surface of the cylindrical part 39 is integrally formed with a number of axially spaced, radially inwardly projecting flanges 40. The outer surface of the nozzle is complementarily provided with a series of radially outwardly extending flanges 41, each axially spaced equidistant between a respective adjacent pair of the flanges 40. The outer diameter of the flanges 41 is very slightly greater than the inner diameter of the flanges 40. A ring of exhaust outlets 42 is formed in the cylindrical part 39, adjacent to its upper end.

It is intended that the barrel portion 10 will be reusable, and will be made of metal or plastics material. It is intended that the parts screwed to the bottom of the barrel portion 10 will be made primarily of plastics material and will be disposable after a single use. In an alternative embodiment, the entire device will be made of a plastics material, will be provided in sterile packaging and will be disposable after a single use.

In use the reservoir 11 in the barrel portion 10 is charged with a gas, such as helium under pressure, by screwing a supply conduit onto the skirt 15, and depressing the plunger 16 so that the reservoir is charged by flow upwards around the enlargement 18. When the button 21 is released, the plunger 16 will be retracted to seal the

reservoir 11 by the supply pressure acting on the underside of the enlargement 18.

The remaining part of the syringe will normally be supplied in a sealed sterile packet with the capsule 28 in place, and with the passageway through the nozzle 26 filled with a light gas such as helium, at substantially atmospheric pressure, and contained by a foil 43 removably secured by adhesive to the bottom face of the nozzle, and having a tab 44. This part is screwed to the barrel portion 10.

In order to carry out an injection, the wider end of the spacer shroud 38 is pressed against a patient's skin and, after first removing the foil 43 by pulling on the tab 44, the button 21 is depressed. The gas released from the reservoir 11 into the chamber 25 eventually creates in the chamber a pressure sufficient to burst the diaphragms 33 and 34 and allow the gas to travel through the nozzle 26, with the particles entrained thereby, into the patient's skin. The shockwave reflected from the patient's skin travels up through the labyrinthine passageway between the nozzle 26 and surrounding cylindrical portion 39, through the tortuous path between the flanges 40 and 41, and eventually out through the opening 42, acting as a silencer and attenuating the noise of the gas discharge.

A charge of gas in the reservoir 11 could be sufficient for five or ten injections, although the current prototype only allows for a single injection, before it is necessary for the reservoir to be recharged. After the injection, it will be normal for at least the disposable parts attached to the bottom of the barrel portion 10 to be disposed of. However, it is conceivable that in certain situations, the nozzle could be unscrewed from the lower barrel portion 24, and a new capsule 28 fitted prior to a new injection being carried out. If the reservoir is to contain enough gas for multiple injections, the plunger 16 will preferably be spring-loaded upwards so that the lower

end of the reservoir is reclosed upon release of the button 21 as soon as the syringe has been fired.

Figure 4 shows a modification in which the upper barrel portion 10 has an open upper end, and is secured at 5 its lower end to a coupling 45 which is screwed to the upper end of a lower barrel portion 24. The coupling has a socket with an O-ring 46 for receiving and sealing within the socket a neck 47 on a metal bulb 48, containing a pressurized gas such as helium, which is loosely received 10 within the barrel portion 10. The bottom wall of the coupling 45 is provided with an upstanding projection 49 through which there passes a passageway 50 opening into the chamber 25. A pair of arms 51, extending down opposite sides of the barrel portion 10, are pivoted at 52, adjacent 15 to their lower ends, to the barrel portion 10, and at 53, adjacent to their upper ends, to a lever 54, having a cam nose 55 arranged to engage the upper end of the bulb 48. The neck 47 of the bulb contains a spring loaded valve which is opened upon inward pressure into the neck by the 20 hollow projection 49 when the lever 54 is rotated clockwise as seen in Figure 4 to force the bulb 48 further into the socket 45.

The parts below the chamber 25 are only shown diagrammatically in Figure 4 but may include all the 25 features, such as the spacer/silencer and foil seal, shown in Figures 1 to 3. Operation is analogous to the first example in that when the chamber 25 is to be pressurized for an injection, the lever 54 is operated to release gas from the bulb 48 into the chamber 25. In this case some or 30 all of the parts may be disposable after each injection.

In the first two examples, a semi-permeable membrane, which filters out any bacteria or foreign matter in the gas supply, may be fixed at its edges to the barrel portion 24, e.g. between two parts of the portion 24, which are 35 connected by a screw threaded connection, and extend across the interior of the portion 24, upstream of the capsule 28.

Figure 5 shows a modification of the first example and although not shown, the spacer silencer and foil may be provided as in the first example. The essential difference is that the barrel portion 24 is longer and is provided 5 with a piston 56, which is sealed to the inner wall of the barrel portion 24 by an O-ring 57. The piston is captive in the barrel portion 24 by engagement with an annular shoulder 58.

In this case the chamber 25 may be prefilled with gas, 10 such as helium, at a superatmospheric pressure of, say, 2-4 bar, but possibly even as high as 10 bar.

In use the button 21 will be pressed to force the piston 56 a short distance downwardly in the barrel portion 24, after which the gas released from the reservoir 11 will 15 enter the barrel portion 24 behind the piston 56 and force the piston to stroke down within the chamber 25 until the pressure between the piston and capsule 28 is sufficient to burst the capsule diaphragm. In this example it is intended that the barrel portion 24 will be separated from 20 the barrel portion 10 for disposal together with the piston 56.

The syringe shown in Figures 6 and 7 has disposable parts which are similar to those of the syringe shown in Figure 5, except that the piston 56 is of a slightly 25 different shape and contains a poppet valve 59 through which the chamber 25 can be precharged with gas under a superatmospheric pressure. In this case the upper barrel portion 10 contains a sliding plunger 60 having an annular leading end 61 which is arranged to engage the piston 56 30 around the poppet valve 59. The plunger 60 is initially held retracted, against the action of a pair of parallel helically coiled compression springs 62 by means of a keyhole slotted plate 63 which is laterally slidable in the upper end of the barrel portion 10, and engages a 35 complementary annular groove in the upper end of a stem 64 which screws into an enlargement 65 at the upper end of the plunger, and effectively forms an extension of the plunger.

The springs 62 act between the enlargement 65 and a shoulder on an insert 66 within the barrel portion. The slotted plate 63 is laterally movable by means of a finger lever 67.

5 Initially with the plunger 60 cocked and retracted, and with the piston 56 at the upper end of the chamber 25, a spacer at the lower end of the syringe is applied, as previously described, to a patient's skin. Depression of the lever 67 releases the stem 64 and plunger 60, which  
10 drives the piston 56 forwardly until the pressure in the chamber 25 is sufficient to burst the diaphragms of the capsule 28.

In each of the examples, the geometry of the passageway through the nozzle 26 and of the spacer shroud 38 are significant and the following are typical for a nominal Mach 2 velocity nozzle. The convergent portion 35 is 10 mm long and converges from a diameter of 6 mm to a diameter at the throat 36 of 1.5 mm. The divergent portion 37 is 50 mm long and the diameters at 5 mm increments from  
15 the throat 36 downstream to the outlet end of the nozzle are 1.74, 1.95, 2.03, 2.10, 2.16, 2.19, 2.20, 2.21, 2.22 and 2.23 mm respectively. The spacer shroud 38 has an axial length of 30 mm and diverges from an upstream diameter of 12 mm to 30 mm.

20 25 The examples shown in Figures 5 to 7 may be modified for laboratory use by providing in the wall of the barrel portion 24 an inlet port connected to a source of e.g. helium via which the chamber 25 can intermittently be pumped up to the initial pressure of 2-4 bar. In this case, it may not be necessary for the lower parts to be disposable, nor to be provided preassembled. Thus the barrel portion 10 may be of rigid construction and held in a secure position. The particulate material may be provided between the diaphragms 33, 34, of a sealed unit 28  
30 35 between portion 10 and nozzle 26. However, in the laboratory, it may be sufficient for there to be a single membrane on to which the particulate material is dosed

through the top of the barrel portion 10, prior to fitting of the piston 56 into the top of the portion 10, and the raising of the pressure within the cylinder via the inlet port. Thereafter the driving gas is applied to depress the  
5 piston 56.

CLAIMS

1. A needleless syringe comprising an elongate tubular nozzle, a rupturable membrane initially closing the passage through the nozzle adjacent to the upstream end of the nozzle, particles of a therapeutic agent located adjacent to the membrane, and energising means for applying to the upstream side of the membrane a gaseous pressure sufficient to burst the membrane and produce through the nozzle a supersonic gas flow in which the particles are entrained.  
5
2. A syringe according to claim 1, in which the energising means comprises a chamber upstream of the membrane, and means for the controlled build up of gaseous pressure in the chamber.  
15
3. A syringe according to claim 2, in which the means for building up the pressure in the chamber comprises a source of compressed gas connected to the chamber through a bleed valve.  
20
4. A syringe according to claim 3, which is self-contained and portable and incorporates its own reservoir of compressed gas.  
25
5. A syringe according to any one of claims 2 to 4, wherein the upstream end of the chamber is closed by a sterile barrier.
6. A syringe according to claim 5, in which the sterile barrier is a semi-permeable membrane which allows the passage of gas but not of bacteria therethrough.  
30
7. A syringe according to claim 6, wherein the chamber is cylindrical and the sterile barrier is a piston, there being means for advancing the piston in the cylindrical chamber to compress the gas therein.  
35

8. A syringe according to claim 7, wherein the means for advancing the piston is a source of compressed gas applied to the upstream end of the piston.

5 9. A syringe according to claim 8, which is self-contained and portable, and incorporates its own reservoir of compressed gas, and a valve which can be opened manually to expose the piston to the gas pressure.

10 10. A syringe according to claim 7, wherein the means for advancing the piston comprises a spring which is arranged to be stressed and then released manually to advance the piston.

15 11. A syringe according to any one of claims 7 to 10, wherein the gas initially in the chamber before the piston is advanced is at super-atmospheric pressure.

12. A syringe according to any one of claims 7 to 11,  
20 wherein the leading end of the piston is convex.

13. A syringe according to any one of the preceding claims, wherein the gas applied to the upstream side of the membrane to burst the membrane is lighter than air.

25 14. A syringe according to any one of the preceding claims, wherein the particles are of a powdered therapeutic agent located between two rupturable diaphragms extending across the interior of the nozzle.

30 15. A syringe according to claim 14, wherein there are at least three of the diaphragms providing between adjacent ones of the diaphragms, separate spaces for different types of particles.

16. A syringe according to claim 14 or claim 15, wherein the rupturable membrane is provided by the rupturable diaphragms.

5 17. A syringe according to any one of claims 14 to 16, wherein the diaphragms are sealed together around the edges to form a common sachet or capsule containing the particles.

10 18. A syringe according to any one of claims 14 to 17, wherein at least one of the two diaphragms is dimpled away from the other to provide separation sufficient to locate the majority of the particles radially inwardly of the diaphragm edges.

15 19. A syringe according to any one of the preceding claims, in which, downstream of the membrane, the interior of the nozzle contains a gas which is lighter than air at substantially atmospheric pressure, the light gas being 20 contained by a readily removable seal at the downstream end of the nozzle.

20. A syringe according to claim 19, in which the seal is a removable plug or cap.

25 21. A syringe according to claim 19, wherein the seal is a peelable foil.

30 22. A syringe according to any one of claims 19 to 21, wherein the gas contained within the nozzle is helium.

23. A syringe according to any one of the preceding claims, wherein the nozzle is convergent/divergent downstream of the membrane.

35 24. A syringe according to any one of the preceding claims, in which a spacer is provided at the downstream end

of the nozzle to provide a positive spacing of the nozzle from a target.

25. A syringe according to claim 24, in which the spacing  
5 is up to 35 mm, preferably in the range of 5 - 15 mm.

26. A syringe according to claim 24 or claim 25, in which  
the spacer is a tubular shroud sufficiently large and  
shaped so that it does not prevent a jet of gas-entrained  
10 particles leaving the nozzle outlet, in use, from expanding  
to a cross section at least five times the area of the  
outlet at a position level with the downstream end of the  
shroud.

27. A syringe according to any one of claims 24 to 26, in  
15 which the spacer is an imperforate tubular shroud, and a  
silencer is positioned in an annular space within a barrel  
surrounding the tubular nozzle to receive the shock wave  
reflected from the target back through the spacer shroud.

20 28. A syringe according to any one of the preceding  
claims, which is readily separable into two parts: a  
disposable downstream part comprising at least the sterile  
nozzle, membrane and particles, and an upstream part  
comprising at least part of the energising means.

25

29. A syringe according to claim 28, when dependent on at  
least one of claims 5 to 12, wherein the disposable part  
also includes the chamber and sterile barrier.

30 30. A sterile package containing the downstream disposable  
part of the syringe according to claim 28 or claim 29.

35 31. A product for therapeutic use, comprising a sealed  
unit of or for a syringe according to any one of the  
preceding claims, the unit comprising two diaphragms which  
are directly or indirectly sealed to one another around

their edges, and which contain particles of a powdered therapeutic agent for transdermal injection.

32. A product according to claim 31, in the form of a  
5 sealed rupturable sachet or capsule.

33. A product according to claim 32, wherein the sachet comprises at least three of the diaphragms, providing at least two compartments containing different powdered  
10 therapeutic agents to be injected together.

34. A product according to any one of claims 31 to 33, in which the powdered therapeutic agent is a stable mixture of drugs which are unstable when mixed wet.

15 35. A product according to any one of claims 31 to 34, in which the therapeutic agent comprises insulin.

20 36. A product according to any of claims 29 to 33, in which the particles have a size predominantly in the range 0.1 to 250  $\mu\text{m}$ .

37. A product according to claim 36, wherein the size range is 1 to 50  $\mu\text{m}$ , preferably 10 to 20  $\mu\text{m}$ .

25 38. A needleless syringe, for therapeutic use, which comprises a nozzle, particles of a powdered therapeutic agent, and energising means which, on activation, deliver the particles through the nozzle at a velocity of at least 30 200, and preferably in the range of between 200 and 2,500, m/sec, in which the particles have a size predominantly in the range 0.1 to 250  $\mu\text{m}$  and a density in the range of 0.1 to 25 g/cm<sup>3</sup>, and in which the agent comprises a drug having the therapeutic use.

39. A syringe according to claim 38, in which the agent has no, or a minor amount by volume of, inert carrier or diluent.

40. A syringe according to claim 38 or claim 39, wherein  
5 the velocity range is between 500 and 1,500, preferably  
between 750 and 1,000 m/sec; and/or the size range is  
between 1 and 50, preferably between 10 and 20  $\mu\text{m}$ ; and/or  
the density range is between 0.5 and 2.0, preferably  
substantially 1.0, g/cm<sup>3</sup>.

10 41. A syringe according to any one of claims 38 to 40, in  
which the agent is as defined in claim 34 or claim 35.

15 42. A method of therapeutic treatment, comprising the  
transdermal delivery of particles of a powdered therapeutic  
agent, which comprises administering the particles to the  
locus of transdermal administration at a velocity in the  
range of at least 200, preferably between 200 and 2,500  
m/sec, in which the particles have a size predominantly in  
20 the range 0.1 to 250  $\mu\text{m}$  and a density in the range of 0.1  
to 25 g/cm<sup>3</sup>, and in which the agent comprises a drug having  
the therapeutic use.

25 43. A method according to claim 42, in which the agent has  
no, or a minor amount by volume of, inert carrier or  
diluent.

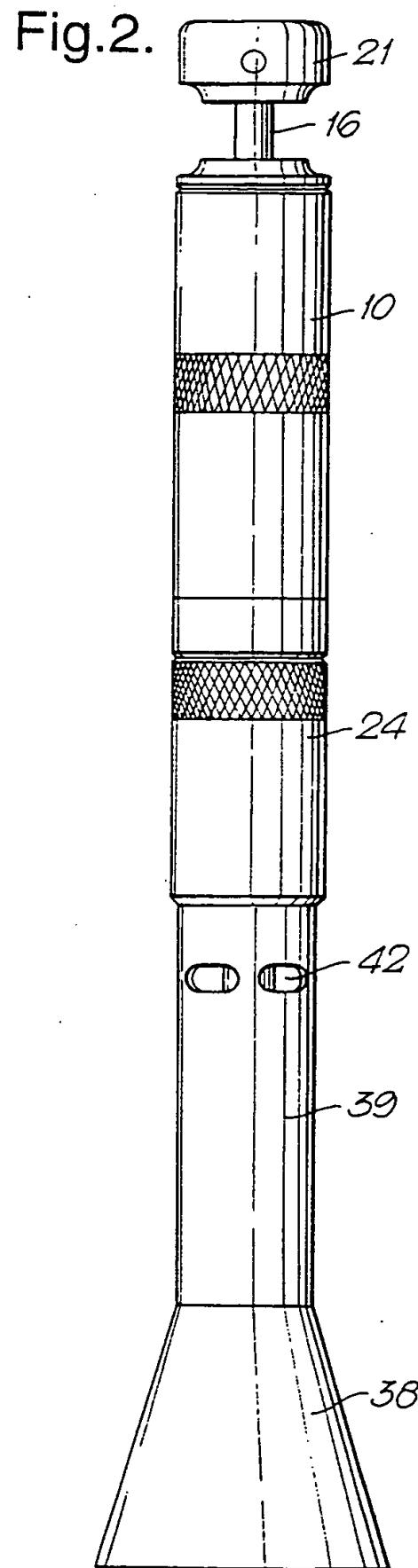
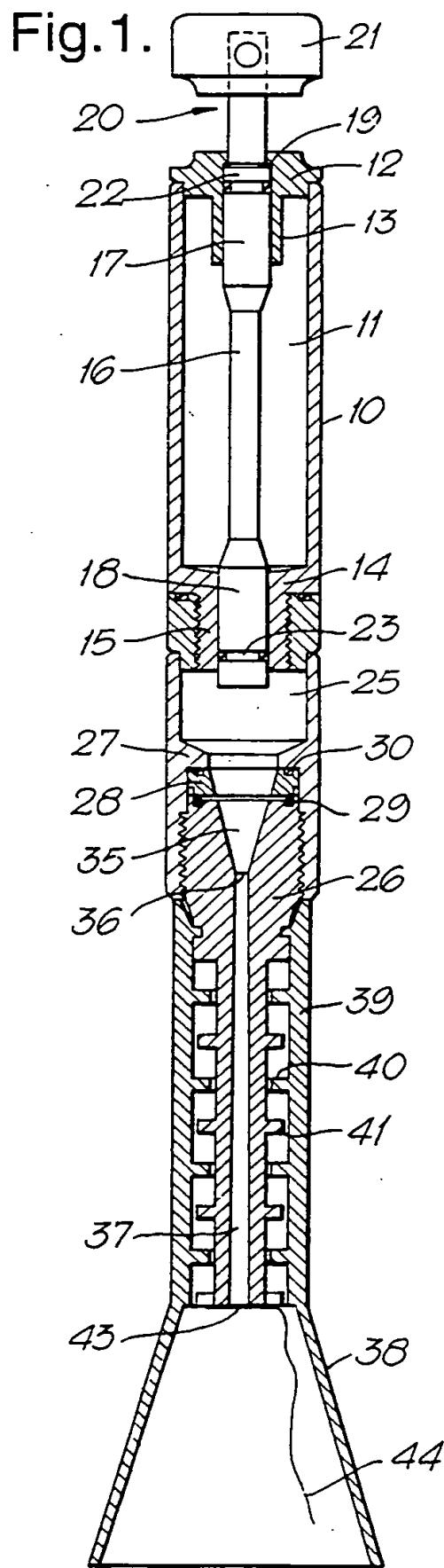
30 44. A method according to claim 42 or claim 43, where in  
the velocity range is between 500 and 1,500, preferably  
between 750 and 1,000 m/sec; and/or the size range is  
between 1 and 50, preferably between 10 and 20  $\mu\text{m}$ ; and/or  
the density range is between 0.5 and 2.0, preferably  
substantially 1.0 g/cm<sup>3</sup>.

35 45. A method according to any one of claims 42 to 44, in  
which the agent is as defined in claim 34 or claim 35.

46. A needless syringe which comprises a nozzle, particles of a powdered therapeutic agent, and energising means which, on activation, deliver the particles with a momentum density of between 2 and 10, preferably between 4 and 7  
5 kg/sec/m.

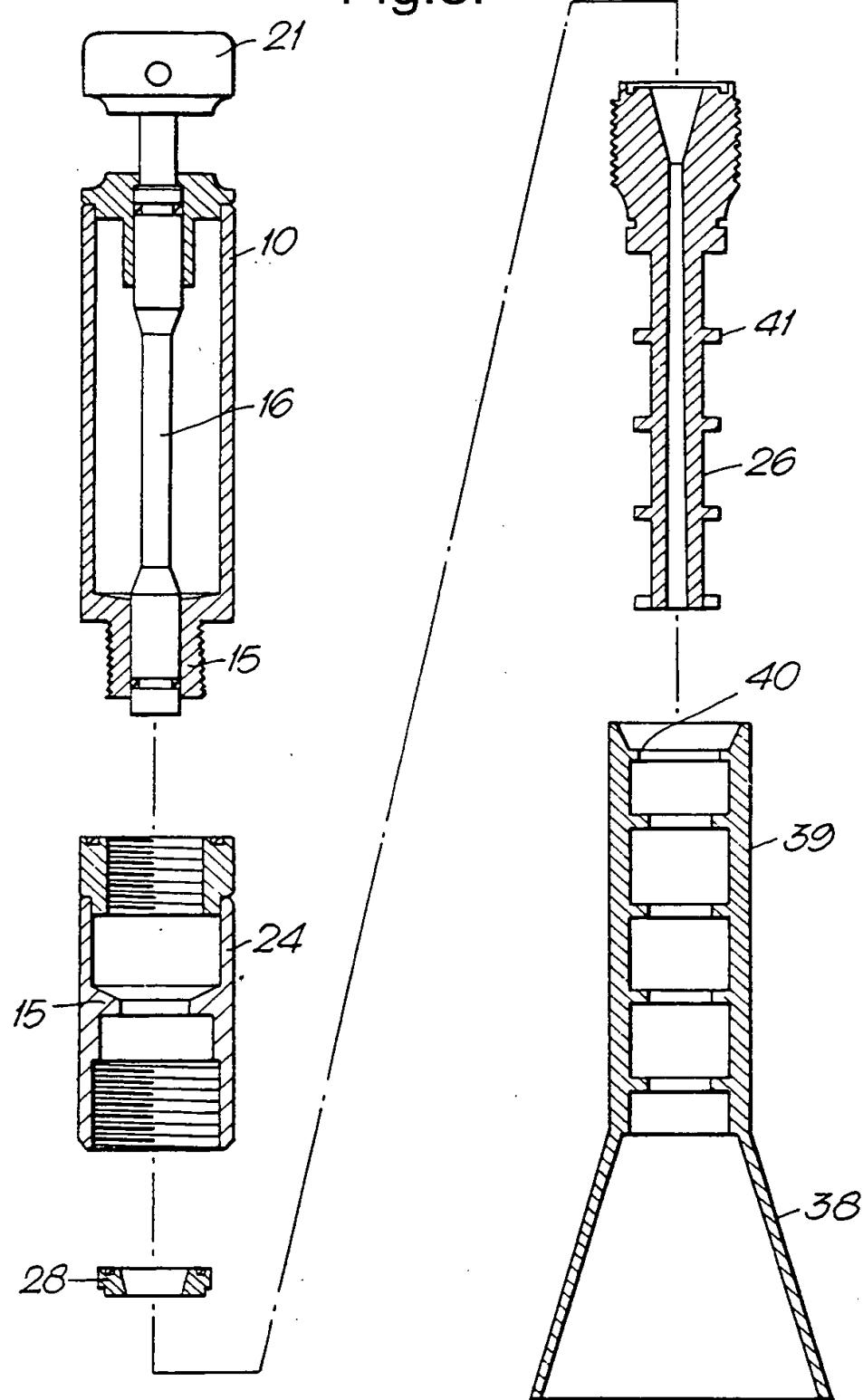
47. A method of therapeutic treatment, comprising the transdermal delivery of particles of a powdered therapeutic agent, which comprises administering the particles to the  
10 locus of transdermal administration at a momentum density of between 2 and 10, preferably between 4 and 7 kg/sec/m.

114



2/4

Fig.3.



3/4

Fig.4.

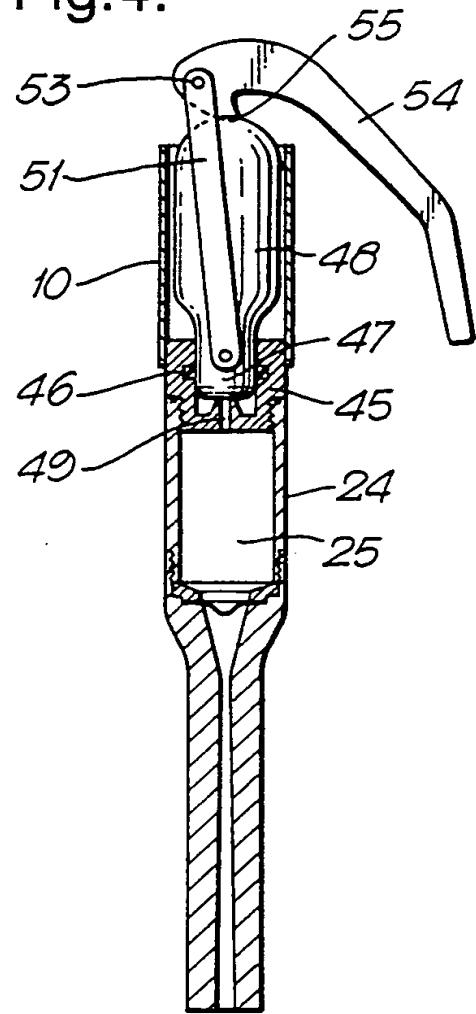


Fig.7.

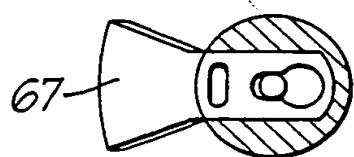
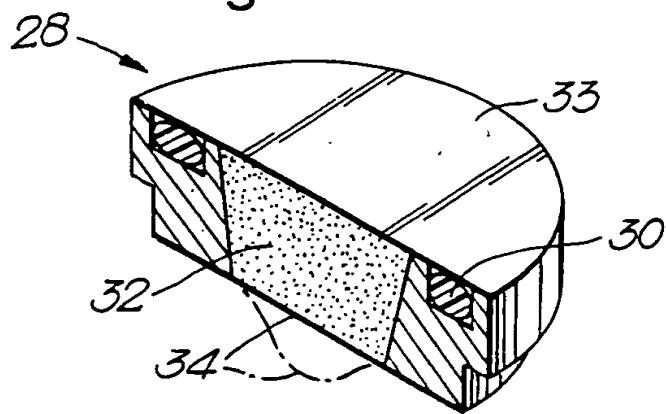
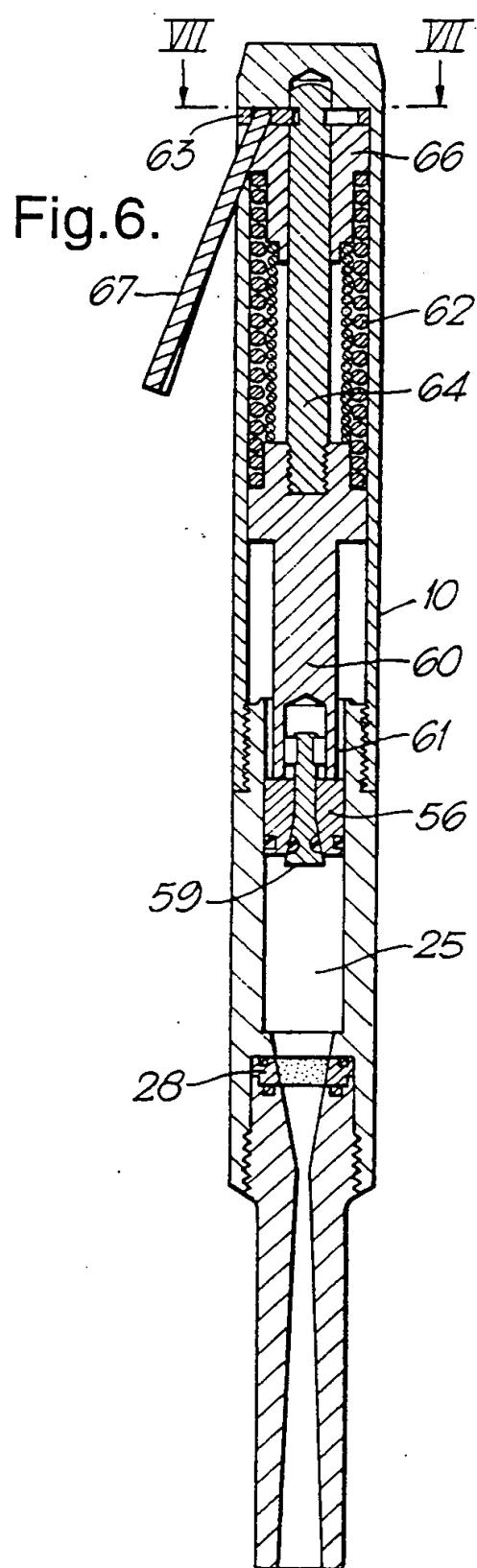
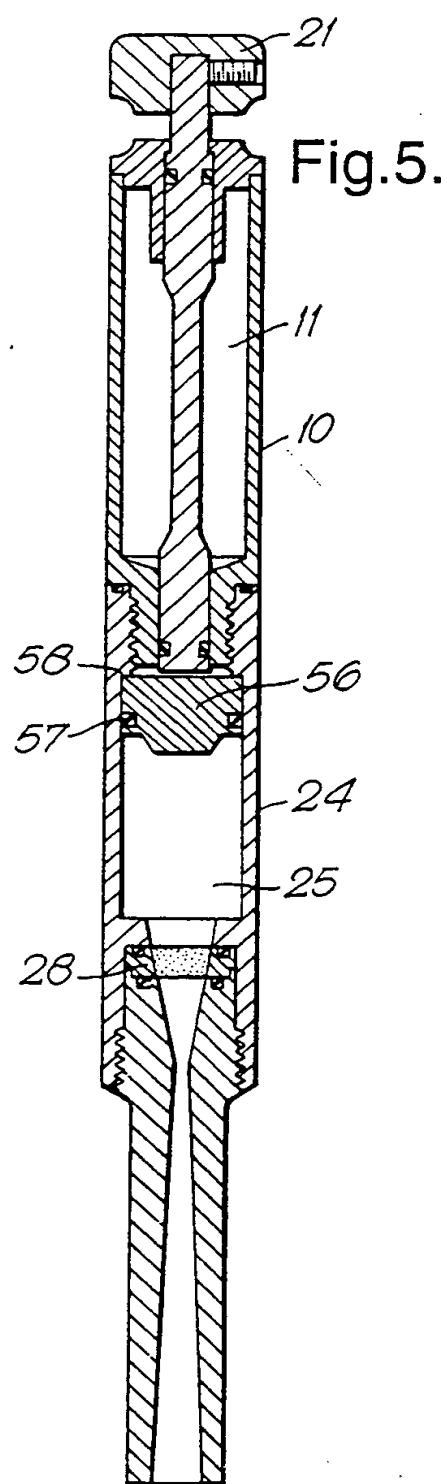


Fig.8.





## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/GB 94/00753

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 5 C12M3/00 B05B7/14

A61M5/46

A61M11/06

A61M5/31

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 5 C12M A61M B05B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO,A,92 04439 (BELLHOUSE) 19 March 1992 cited in the application see page 1, line 27 - line 32 see page 2, line 1 - line 15 see page 2, line 20 - line 22 see page 3, line 17 - line 21 see page 4, line 23 - line 26 see page 5, line 31 - page 6, line 1; figure 1	1-3, 23-25
Y A	---	4,13 8,14,36, 38-41,46 -/-

 Further documents are listed in the continuation of box C. Patent family members are listed in annex.

## \* Special categories of cited documents :

- \*'A' document defining the general state of the art which is not considered to be of particular relevance
- \*'E' earlier document but published on or after the international filing date
- \*'L' document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*'O' document referring to an oral disclosure, use, exhibition or other means
- \*'P' document published prior to the international filing date but later than the priority date claimed

- \*'T' later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*'X' document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*'Y' document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

2

Date of the actual completion of the international search

23 August 1994

Date of mailing of the international search report

20.09.94

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl.  
Fax (+ 31-70) 340-3016

Authorized officer

Sedy, R

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/GB 94/00753

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US,A,3 788 315 (LAURENS) 29 January 1974 see column 2, line 39 - line 53 see column 4, line 10 - line 22 see column 3, line 64 - line 67 see column 6, line 21 - line 23 see figures 3,5,7	4
A	---	5,7-12, 19,20
Y	PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF USA. vol. 88, no. 7 , April 1991 , WASHINGTON US R. SANDERS WILLIAMS ET AL. 'INTRODUCTION OF FOREIGN GENES INTO TISSUES OF LIVING MICE BY DNA-COATED MICROPROJECTILES' see page 2726, column 2, paragraph 2 - page 2727, column 1, paragraph 2; figure 1	13
A	---	1
A	GB,A,2 206 794 (POWER) 18 January 1989 see page 3, paragraph 2 see figures 1-3	17,32, 34,41
A	US,A,3 736 933 (SZABO) 5 June 1973 see column 4, line 45 - line 50 see figures 8-10	15-17, 33,34
A	EP,A,0 406 778 (GYULA) 9 January 1991 see column 3, line 25 see column 5, line 18 - line 22 see figure 1	28,30, 35,41
A	PLANT PHYSIOLOGY 1990 , BETHESDA, MD pages 334 - 339 J.H. OARD ET AL. 'TRANSIENT GENE EXPRESSION IN MAIZE, RICE AND WHEAT CELLS USING AN AIRGUN APPARATUS' see page 337, column 1, paragraph 2 - column 2, paragraph 2; figures 2,5	1,38
A	EP,A,0 371 838 (DALTO) 6 June 1990 see column 1, line 12 - line 15 see column 2, line 7 - line 9; figure 1	24
A	FR,A,2 360 031 (KLEIN) 24 February 1978 see page 1, line 16 see page 3, line 12 - line 23; figure 4	27
2	-----	

## INTERNATIONAL SEARCH REPORT

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: **42-45, 47**  
because they relate to subject matter not required to be searched by this Authority, namely:  
**Please see Rule 39.1(iv) PCT**
2.  Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3.  Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

## Remark on Protest

The additional search fees were accompanied by the applicant's protest.

No protest accompanied the payment of additional search fees.

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/GB 94/00753

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO-A-9204439	19-03-92	NONE	
US-A-3788315	29-01-74	NONE	
GB-A-2206794	18-01-89	NONE	
US-A-3736933	05-06-73	NONE	
EP-A-0406778	09-01-91	NONE	
EP-A-0371838	06-06-90	FR-A- 2638359      04-05-90 AU-B- 627795      03-09-92 AU-A- 4523089      28-05-90 CA-A- 2002103      03-05-90 WO-A- 9004988      17-05-90 JP-T- 3503497      08-08-91 US-A- 5141496      25-08-92	
FR-A-2360031	24-02-78	NONE	